

# **STATE OF ALABAMA**

INSTRUCTIONS FOR APPLICATION TO REGISTER TRADEMARK, SERVICE MARK, OR TRADE NAME IN ALABAMA

### REGISTRATION

Trademark, service mark, and trade name (hereinafter referred to as "marks") registrations are administered at the state level in the Office of the Secretary of State. Any word, symbol, logo, slogan or combination thereof adopted and used by the applicant to identify services rendered is a <u>Service Mark</u>. Any word, symbol, logo, slogan or any combination thereof adopted and used by the applicant to identify goods made or sold is a <u>Trademark</u>. A word, symbol, logo, slogan or combination thereof adopted and used by the applicant to identify the business, vocation, occupation, or profession is a <u>Trade Name</u>.

Registration of marks is a complex matter. Because of the complexity of the laws pertaining to registration of marks, this office recommends that applicants seek the advice of (a) specifically trained and/or licensed professional(s) prior to submitting the application. It is the applicant's personal responsibility to ensure the mark does not infringe on any other individual/business mark. It is also the responsibility of the applicant to ensure the mark is not being used in a fraudulent manner. Rejection/denial of the application by this office <u>does not</u> prevent use of the mark. Rights of ownership of a particular mark are achieved through the common laws of adoption and usage, not registration. The effective term for mark registrations is five years. A mark may be renewed for successive periods of five years six months prior to the expiration date.

#### **SPECIMENS**

Specimens are an important part of the registration process. Specimens are samples which perform the function of verifying usage of a mark. Clear copies of specimens are generally accepted. Before submitting the application for registration, inspect all specimens for clarity. Altered or defaced specimens will not be accepted. Illegible specimens are also unacceptable. All specimens submitted must be clear and clean.

Three specimens displaying the mark in use must be submitted with the application. Some acceptable mark specimens are business cards, brochures, flyers, labels, decals, tags, and newspaper advertisements. Specimens may be originals or legible copies.

#### APPLICATION

Before submitting the Application for Registration, review the items below to ensure the application has been completed. Failure to do so may result in rejection/denial of the application.

- Complete ALL applicable items on the application to register trademark, service mark and trade name.
  Please Note: Items 3, 9 and 10 may not apply to all applications for registration. If "partnership" is selected for Item 2, please list the names and addresses of ALL partners for Item 3. If applicant has received permission to use the mark being registered, applicant must submit a notarized written consent and disclaimer from the owner of the mark for Items 9 and 10. The written consent and disclaimer must be submitted with the application.
- Select one classification number using the attached Schedules of Classifications to complete Item 7.
  Please Note: When an application includes goods or services which fall within multiple classes, the Office of the Secretary of State requires an additional application for registration and an additional registration fee for each class.
- 3. Submit three (3) specimens and a thirty dollar (\$30.00) registration fee at the time of filing.
- 4. Have the application notarized by a Notary Public.
- 5. If a Federal Registration has been granted for the mark, include a copy with the application for registration.

If you have questions, please contact: Office of Secretary of State Lands and Trademarks Division 11 South Union Street, Suite 224 Montgomery, Alabama 36130 (334) 242-5325

## SCHEDULE OF CLASSIFICATION OF BUSINESSES

1 Agriculture, forestry, and fisheries	14 Eating and drinking places, drugstores, and liquor stores				
2 Mining	15 Sporting goods, bicycles, and hobby stores				
3 Construction	16 Book, stationery, jewelry, sewing, needlework, and piece-goods stores				
4 Manufacturing, nondurable goods	17 Mail-order houses, vending machine operators, and direct selling establishments, fuel and ice dealers, and retail florists				
5 Manufacturing, durable goods	18 Retail trade - miscellaneous				
$\boldsymbol{\mathbb{G}}$ Transportation, communications, and other public utilities	19 Finance, insurance, and real estate				
7 Wholesale trade, nondurable goods	20 Business and repair services				
8 Wholesale trade, durable goods	21 Personal services				
9 Retail trade - lumber and building materials, mobile home dealers, hardware, nurseries and garden stores	22 Entertainment and recreation services				
10 Retail trade - department, variety, miscellaneous general merchandise, grocery, dairy products, retail bakery, and food stores	23 Professional and related services				
11 Retail trade - motor vehicle dealers, auto and home supply stores, gasoline service stations, and miscellaneous vehicle dealers	24 Public administration				
12 Retail trade - apparel, accessory, and shoe stores	25 Miscellaneous				
13 Retail trade - furniture, home furnishings, household appliances, T.V. and radio stores.	<b>NOTE:</b> When an application includes goods or services which fall within multiple classes, the Office of the Secretary of State requires an additional application and registration fee per classification.				
Please Note: When an application includes goods or services which fall within multiple classes, the Office of the Secretary of State requires an additional application for registration and an additional registration fee for each class.					

	TION OF GOODS AND SERVICES		
1 Chemicals	24Fabrics		
2 Paints	25 Clothing		
3 Cosmetics and cleaning	26 Fancy goods		
4 Lubricants and fuels	27 Floor coverings		
5 Pharmaceuticals	28Toys and sporting goods		
6 Metal goods	29 Meats and processed foods		
7 Machinery	30 Staple foods		
8 Hand tools	31 Natural agricultural products		
9 Electrical and scientific apparatus	32 Light beverages		
10 Medical apparatus	33 Wine and spirits		
11 Environmental control apparatus	34 Smokers' articles		
12vehicles	35 Advertising and business		
13 Firearms	36 Insurance and financial		
14 Jewelry	37 Building construction and repair		
15 Musical instruments	38 Telecommunications		
16 Paper goods and printed matter			
17 Rubber goods	39 Transportation and storage		
18 Leather goods	40 Treatment and materials		
19 Nonmetallic building materials	41 Education and entertainment		
20 Furniture and articles not otherwise classified	42 Computer and scientific		
21 Housewares and glass	43 Hotels and restaurants		
22 Cordage and fibers	44Medical, beauty & agriculture		
23 Yarns and threads	45Personal		

requires an additional application for registration and an additional registration fee for each class.



## **STATE OF ALABAMA** APPLICATION TO REGISTER TRADEMARK,

SERVICE MARK OR TRADE NAME IN ALABAMA

1.Applicant Name (Individual, C	2.Applicant is registered in the State of as a(n)						
			Unincorporat limited liabili sole propriet other	ty company	non-profit partnership limited liabi	corporation individual ility partnership	
Street Address C	City	County	State	Zip		Telephone	
3.List names and addresses of partners (if applicable)			4.Description of	f Mark/Name			
5. Description of goods, service	s or business pro	vided under the Mark/Name	6.How will the N	6.How will the Mark/Name be used in commerce			
	7.Classification Number Classification Type: (check one)						
			8.Mark Type:		Good and Servi	ices Business	
9.Disclaimer (if applicable			Trademark 10.Consent (if a		le Name	Service Mark	
11a.Date and state of Mark/Na	me origination:		11b.Date in whi	11b.Date in which the Mark/Name was first used in Alabama			
	in						
	y dollars (\$30.00	e Mark/Name in use. Specimens fo ) payable to the Alabama Secretar Notary Public.					
corporation (or association) app Trade Name in Alabama in iden Any exceptions are provided fo	plying for the reg itical form therec r in Item 10. I ha	, before first duly sworn, attest istration of the Mark/Name above of or in such near resemblance to ve the authority to make this affic further attest that the three spec	e. I believe no other deceive or cause to lavit and verification	r person has th be mistaken p n. I have read t	e right to use th ursuant to An8-:	e Trademark, Service Mark or 12-8 (a) (4), Code of Alabama 1975.	
			Signature				
Name		Title					
Section below to be completed							
Subscribed and sworn to before	e me this the	day of, 20	·				
County of Sta	ate of						
Notary Public Name		Notary Public Signature	Comm	ission Expiratio	on Date	-	
Revision Date 7/2011							